

# River and Mercantile Funds ICVC

## R&M ICVC Share Class A, B and Z Application Form

Please complete this form in ink and block capitals. All investors must complete parts Parts 1,2 and 4. Only investors who require income need to complete Part 3. If you would like your income reinvested, please tick the appropriate box in Part 2. Please note that where payment is made by building society or bank draft, the name of the account from which the funds are drawn must be confirmed by the building society/bank on the payment.

### Part 1. Personal Details

#### 1st applicant

Title (Mr/Mrs/Miss/Ms/Other)	<input type="text"/>	Forenames	<input type="text"/>
Surname	<input type="text"/>	Nationality	<input type="text"/>
Permanent residential address			
<input type="text"/>			
<input type="text"/>			
Postcode	<input type="text"/>	Country of Residence	<input type="text"/>
Date of Birth	<input type="text"/>	Daytime phone no.	<input type="text"/>
Account designation (if applicable)		<input type="text"/>	

#### 2nd applicant

Title (Mr/Mrs/Miss/Ms/Other)	<input type="text"/>	Forenames	<input type="text"/>
Surname	<input type="text"/>	Nationality	<input type="text"/>
Permanent residential address			
<input type="text"/>			
<input type="text"/>			
Postcode	<input type="text"/>	Country of Residence	<input type="text"/>
Date of Birth	<input type="text"/>	Daytime phone no.	<input type="text"/>

Investments cannot be registered in the name of any trust or executorship, but must be registered in the names of individual trustees. If applicable, please indicate the name of the trust/estate below, and provide details of any additional trustees/executors as an addendum to this application form.

Name of trust/estate:

### Part 2. Investment details

I/We apply to invest a total lump sum of  in the following River and Mercantile ICVC(s). The minimum investment for Class A shares is £1,000 (minimum subsequent investment £500), the minimum investment for Class B shares is £2,500,000 (minimum subsequent investment £25,000) and the minimum investment for Class Z shares is £5,000,000 (minimum subsequent investment £50,000).

Class A Income shares are available for all R&M Funds. Class B Income shares are available for the R&M UK Equity Income, R&M Long Term Recovery or R&M Global High Income Funds. Class B Accumulation shares are available for the R&M UK Equity High Alpha, R&M Global Equity and R&M Global Opportunities Funds. Class Z Accumulation shares are available for all R&M Funds except the R&M UK Equity Income and R&M Global High Income Funds which do not currently offer a Z share class. If you would like your dividend reinvested to purchase further shares, please tick the "income reinvestment" box below. If you would like your dividend income paid directly into your bank/building society please complete Part 3.

Fund Choice		Amount	Please tick for income reinvestment
R&M UK Equity High Alpha	Class A shares	£ <input type="text"/>	<input type="checkbox"/>
	Class B shares	£ <input type="text"/>	N/A
	Class Z shares	£ <input type="text"/>	N/A
R&M UK Equity Smaller Companies	Class A shares	£ <input type="text"/>	<input type="checkbox"/>
	Class Z shares	£ <input type="text"/>	N/A
R&M UK Equity Unconstrained	Class A shares	£ <input type="text"/>	<input type="checkbox"/>
	Class Z shares	£ <input type="text"/>	N/A
R&M UK Equity	Class A shares	£ <input type="text"/>	<input type="checkbox"/>
	Class Z shares	£ <input type="text"/>	N/A
R&M UK Equity Long Term Recovery	Class A shares	£ <input type="text"/>	<input type="checkbox"/>
	Class B shares	£ <input type="text"/>	<input type="checkbox"/>
	Class Z shares	£ <input type="text"/>	N/A
R&M UK Equity Income	Class A shares	£ <input type="text"/>	<input type="checkbox"/>
	Class B shares	£ <input type="text"/>	<input type="checkbox"/>

R&M Global Equity	Class A shares	£	
	Class B shares	£	N/A
	Class Z shares	£	N/A
R&M Global Opportunities	Class A shares	£	
	Class B shares	£	N/A
	Class Z shares	£	N/A
R&M Global High Income	Class A shares	£	
	Class B shares	£	

Please tick this box if you are paying by electronic transfer, and instruct your bank to send the payment to the Bank of Scotland, sort code 12-11-03, account number 06176274.

If you are paying by cheque please make it payable to River and Mercantile Asset Management LLP and attach it to this application form.

### Part 3. Income payment details

This section must be completed if you wish your income from the Class A shares for all R&M Funds or the Class B shares for the R&M UK Equity Income, R&M UK Equity Long Term Recovery or R&M Global High Income Funds to be paid into your bank/building society.

I/We would like all income to be paid into my/our bank/building society account detailed below

Bank/building society			
Branch		Building society number	
Account name(s)			
Sort code		Account number	

### Part 4. Declaration and Authority

I/We confirm that I/we have read, understood, retained and agree to be bound by the Simplified Prospectus document and have been offered and may request a copy of the Fund's Prospectus, and/or latest annual Report and Account and/or Short Reports.

#### Money Laundering

Applications will be subject to the United Kingdom's verification of identity requirements which are contained in the Criminal Justice Act 2003, the Money Laundering Regulations 2007, the Proceeds of Crime Act 2002, the Joint Money Laundering Steering Group Notes for the Financial Sector and the Senior Management Arrangements, Systems and Controls (SYSC) Handbook of the Financial Services Authority (jointly "the Regulations"). To comply with the Regulations, we may need to request additional evidence of identity from you. We may use a credit reference agency who will record that an enquiry has been made. We reserve the right to refrain from investing your money and to withhold any repayment of capital until adequate evidence of identity under these Regulations has been provided.

#### Data Protection Act

The personal data that you provide will be held by River and Mercantile Funds ICVC. Information may be provided to other companies within the River and Mercantile group for marketing purposes, to enable us to provide the product(s) for which you apply and for the prevention of money laundering.

The use of your personal data is covered by the registration of River and Mercantile Funds ICVC under the Data Protection Act 1998. Under the terms of the Act you have the right, upon payment of the appropriate fee, to obtain a copy of personal data relating to you.

<b>1st applicant signature</b>	<b>2nd applicant signature (if applicable)</b>
Date	Date

To be completed by your Financial Adviser

Agent's Stamp	% commission	Contact name
	% discount	Financial Advisers, by placing your details in this box you are confirming that you have carried out the relevant identification checks required by the Money Laundering Regulations 2007 and the SYSC Handbook of the Financial Services Authority.

Please send completed application forms to: River and Mercantile, BNY Mellon House, Ingrave Road, Brentwood, Essex CM15 8TG.

River and Mercantile Funds ICVC is authorised and regulated by the Financial Services Authority.